

NOTICE OF SPECIFIED PENALTY

Date of Issue: November 7, 2013

Payment Due Date: December 9, 2013

MSA File Number:	2013-376	Specified Penalty Amount:	\$ 250
Market Participant Name:	Shell Canada Energy by its Managing Partner, Shell Canada Limited		
Asset ID (if applicable):	MKR1	Self Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule:	502.4	Date of Contravention:	September 27, 2013
Date of Referral/Self Report:	October 25, 2013	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On September 27, 2013, from 06:00 to approximately 12:56, the MKR1 generating asset was not logged into the AESO's Automated Dispatch and Messaging System (ADaMS). Due to apparent connectivity issues experienced at the MKR1 site beginning in HE 23 on the previous day, the real time desk in Calgary logged in for MKR1; however, information available to the MSA indicated that miscommunication during a shift change resulted in failure of the real time desk to log back in for MKR1 at 06:00. The MSA is of the view that Appendix 1 of ISO Rule 502.4 applies in this case which indicates that the maximum outage time in respect of ADaMS per incident for all Pool Participants receiving dispatches and with total bids or offers of greater than 25 MW is six (6) hours.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 502.4.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Self-report from Shell Canada Energy to the MSA dated October 25, 2013.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Market Rules) darin.lowther@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	November 7, 2013
Name:	Doug Doll	Title:	Manager, Compliance and Corporate Services