

NOTICE OF SPECIFIED PENALTY

Date of Issue: October 16, 2013

Payment Due Date: November 18, 2013

MSA File Number:	2013-342	Specified Penalty Amount:	\$ 500
Market Participant Name:	Cenovus FCCL Ltd.		
Asset ID (if applicable):	EC04	Self Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule:	201.3	Dates of Contravention:	July 1/ 2/ 19/ 21/ 26/ 27/ 28/ 29/ 30, 2013
Date of Referral/Self Report:	October 4, 2013	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

For settlement intervals on the above noted dates in July, 2013, no offer control information was submitted in respect of non-zero MW EC04 offers. ISO rule section 201.3 requires that Offer Control information must be provided for any operating block in the offer with a quantity greater than zero (0) MW. ISO rule section 201.3 further requires this information is submitted to the AESO no later than 30 days after the settlement interval to which the offer control information applies.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that these events were contraventions of ISO Rule 201.3.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO offer data for EC04 for July 1, 2013 – July 31, 2013.
2. AESO October 4, 2013 letter to Cenovus notifying referral of the matter to the MSA.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Market Rules) darin.lowther@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	October 16, 2013
Name:	Doug Doll	Title:	Manager, Compliance and Corporate Services