

NOTICE OF SPECIFIED PENALTY

Date of Issue: July 02, 2013

Payment Due Date: August 01, 2013

MSA File Number:	2013-229	Specified Penalty Amount:	\$ 1,250
Market Participant Name:	Powerex Corp.		
Asset ID (if applicable):	FNG1	Self Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule:	203.4	Date of Contravention:	May 31, 2013
Date of Referral/Self Report:	June 25, 2013	This is the second contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On May 31, 2013 at 23:01 MDT the FNG1 asset accepted an energy dispatch up from 23 MW to 47 MW, for immediate effect. At 23:20 MDT, FNG1 accepted an energy dispatch down from 47 MW to 23 MW, effective immediately. In both instances, the output of the asset did not move directionally toward the dispatch level within 10 minutes of the time specified in the dispatch. In addition, based on its declared ramp rate of 1.5 MW per minute, the FNG1 asset was required to be generating within the allowable dispatch variance (ADV) of the 23:20 dispatch by 23:52 MDT. From 23: 22 to the end of the delivery hour, FNG1 output remained unchanged at or about 19 MW outside the ADV.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 203.4.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Self-report from Powerex Corp. to the MSA dated June 25, 2013.
2. AESO generation and dispatch data for FNG1 for May 31, 2013.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Market Rules) darin.lowther@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	July 02, 2013
Name:	Doug Doll	Title:	Manager, Compliance and Corporate Services