

## NOTICE OF SPECIFIED PENALTY

Date of Issue: February 21, 2013

Payment Due Date: March 25, 2013

MSA File Number:	2013-029	<b>Specified Penalty Amount:</b>	\$ 250
Market Participant Name:	TransAlta Generation Partnership		
Asset ID (if applicable):	BOW1	Self Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule:	6.5.3	Date of Contravention:	January 7, 2013
Date of Referral/Self Report:	February 6, 2013	This is the first contravention by this asset for this rule within a rolling 12 month period.	

### EVENT DETAILS

On January 7, 2013 at 15:48 MST BOW1 received and accepted a dispatch for 56 MW of regulating reserves effective at 16:00 MST. From 16:00 to 16:50 MST, 36 MW of the dispatched 56 MW was provided by the BOW1 asset. ISO rule 6.5.3 b) states in respect of Regulating Reserve (RR), that the system controller will expect the ancillary service provider to deliver the MW range at the ramp rate stated in the ancillary service dispatch for RR unless there is immediate risk to personnel or equipment safety.

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 6.5.3

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Self-report from TransAlta Generation Partnership to the MSA on February 6, 2013.
2. AESO dispatch and generation data for the BOW1 asset for January 7, 2013.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca), with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) [sabi.ghavami@auc.ab.ca](mailto:sabi.ghavami@auc.ab.ca), and Darin Lowther (Director, Market Rules) [darin.lowther@auc.ab.ca](mailto:darin.lowther@auc.ab.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### SIGNATURE

Signature:	"Original Signed"	Signature Date:	February 21, 2013
Name:	Doug Doll	Title:	Manager, Compliance and Corporate Services