

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 21, 2013

Payment Due Date: March 25, 2013

MSA File Number:	2013-028	Specified Penalty Amount:	\$ 1,500
Market Participant Name:	Daishowa-Marubeni International Ltd.		
Asset ID (if applicable):	DAI1	Self Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule:	6.6	Date of Contravention:	October 23, 2012
Date of Referral/Self Report:	February 6, 2013	This is the second contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On October 23, 2012 at 22:45, an advanced energy dispatch for 22MW was issued to DAI1 for effect at 23:00. At 22:46 the dispatch was rejected. Due to the rejection of the 22 MW dispatch, DAI1 remained dispatched at 10MW, however, between 22:45 and 23:05 DAI1 continued to ramp up. At or about 23:01, the generation level of the asset moved beyond the ADV of the 10MW energy dispatch and remained outside ADV until 23:50 as DAI1 generated at between 20 and 24 MW during this period. DAI1 was observed to generate outside of the ADV level for five 10 minutes clock periods during HE 24.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 6.6.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Referral from the AESO to the MSA on February 6, 2013.
2. AESO dispatch and generation data for the DAI1 asset for October 23, 2012.
3. DMI response dated January 24, 2013 to the AESO information request.
4. AESO letter to DMI dated February 6, 2013 notifying the referral of the matter to the MSA.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Market Rules) darin.lowther@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier 30 days and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	February 21, 2013
Name:	Doug Doll	Title:	Manager, Compliance and Corporate Services