

NOTICE OF SPECIFIED PENALTY

Date of Issue: March 25, 2024		Payment Due Date: April 24, 2024	
MSA File Number	RS2023-236	Specified Penalty Amount¹	\$5,000
Market Participant Name	City of Medicine Hat		
Reliability Standard	EOP-008-AB-1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Requirement	R6	Accepted Mitigation Plan	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Date of Referral/Self Report	October 25, 2023	Date of Contravention	October 1, 2022 until mitigation completed

MSA FINDINGS

The Market Surveillance Administrator (MSA) finds that EOP-008-AB-1, R6 was contravened from October 1, 2022 to the day the mitigation plan is completed as there is no full redundancy for SCADA system telecommunications between the primary control centre and the backup control centre location.

EOP-008-AB-1 states, in part:

R6 The ISO and each operator of a transmission facility must have primary and backup functionality that do not depend on each other for the control centre functionality required to maintain compliance with reliability standards.

The MSA is satisfied that the conduct was a contravention of Reliability Standard EOP-008-AB-1, requirement R6.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. MSA File #. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on March 25, 2024

¹ Specified penalty amount conditional on the completion of the accepted Mitigation Plan.