

NOTICE OF SPECIFIED PENALTY

Date of Issue: June 16, 2023		Payment Due Date: July 17, 2023	
MSA File Number	RS2023-107	Specified Penalty Amount¹	\$2,250
Registered Entity Name	Alberta-Pacific Forest Industries Inc.		
Alberta Reliability Standard	PRC-005-AB1-6 PRC-005-AB2-6 ²	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
Requirement	R1	Accepted Mitigation Plan	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Date of Referral/Self Report	May 26, 2023	Date of Contravention	October 1, 2019, to September 30, 2022

EVENT DETAILS

The AESO conducted a scheduled compliance monitoring audit of Alberta-Pacific Forest Industries Inc., with the applicable audit period with respect to PRC-005-AB1-6 and PRC-005-AB2-6 extending from October 1, 2019, to September 30, 2022. The MSA finds that PRC-005-AB1-6 and PRC-005-AB2-6 were contravened from October 1, 2019, to September 30, 2022, as Alberta-Pacific Forest Industries Inc. was unable to demonstrate that it had established a documented protection system maintenance program in accordance with requirement R1 of PRC-005-AB1-6 and PRC-005-AB2-6. PRC-005-AB1-6 and PRC-005-AB2-6 state, in part:

R1

Each legal owner of a transmission facility, legal owner of a generating unit, and legal owner of an aggregated generating facility must establish a protection system maintenance program for its protection systems, automatic reclosing, and sudden pressure relaying. The protection system maintenance program must:

R1.1 identify which maintenance method (a time-based method, the performance-based method per Appendix 2, or a combination of these maintenance methods) is used to address each protection system, automatic reclosing, and sudden pressure relaying component type (as identified in Appendix 1). All batteries associated with the station dc supply component type of a protection system must be included in a time-based program as described in Table 1-4 and Table 3 of Appendix 1.

R1.2 include the applicable monitored component attributes applied to each protection system, automatic reclosing, and sudden pressure relaying component type consistent with the maintenance intervals specified in Tables 1-1 through 1-5, Table 2, Table 3, Tables 4-1 through 4-3, and Table 5 of Appendix 1, where monitoring is used to extend the maintenance intervals beyond those specified for unmonitored protection system, automatic reclosing, and sudden pressure relaying components.

MR1

Evidence of having a documented protection system maintenance program in accordance with requirement R1 exists. Evidence may include, but is not limited to a documented protection system maintenance program that may include supporting information such as manufacturer's specifications or engineering drawings or other equivalent evidence.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of Alberta Reliability Standards PRC-005-AB1-6 and PRC-005-AB2-6, requirement R1.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Referral from the AESO to the MSA dated May 26, 2023, including the AESO Alberta Reliability Standards Compliance Monitoring Audit Report regarding the compliance monitoring audit of Alberta-Pacific Forest Industries Inc.
2. Mitigation Plan submitted by Alberta-Pacific Forest Industries Inc. to the MSA dated June 1, 2023.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance" and delivered to Alberta Affordability and Utilities at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

¹ The specified penalty amount is conditional on the completion of the accepted Mitigation Plan.

² PRC-005-AB1-6 was in effect from October 1, 2019, to October 12, 2020, and PRC-005-AB2-6 took effect on October 13, 2020.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an Alberta Reliability Standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 027, this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	June 16, 2023
Name	Andrew Wilkins	Title	Director, Compliance