

## NOTICE OF SPECIFIED PENALTY

Date of Issue: February 15, 2023		Payment Due Date: March 20, 2023	
MSA File Number	RS2022-260	<b>Specified Penalty Amount<sup>1</sup></b>	<b>\$2,500</b>
Registered Entity Name	AltaLink L.P., by its general partner, AltaLink Management Ltd.		
Reliability Standard	PRC-001-AB3-1.1(ii)	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Requirement	R2	Accepted Mitigation Plan	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
Date of Referral/Self Report	November 1, 2022	Date of Contravention	May 1, 2022 to August 14, 2022

### EVENT DETAILS

From May 1, 2022, to August 14, 2022, AltaLink L.P., by its general partner, AltaLink Management Ltd. (AltaLink) did not notify the ISO (Alberta Electric System Operator) of a protection system failure in accordance with requirement R2.1 of PRC-001-AB3-1.1(ii). PRC-001-AB3-1.1(ii), R2 states:

R2 Each operator of a transmission facility, operator of a generating unit and operator of an aggregated generating facility must take the actions listed in requirements R2.1 through R2.3 after becoming aware of the failure of any of the following protection systems or teleprotection communication channels under its authority:

- (a) a protection system, other than a related teleprotection communication channel referred to in requirement R2(c) or R2(d), that protects a transmission facility operated at a nominal voltage greater than 200 kV, whether or not a functionally equivalent protection system remains in service;
- (b) a protection system, other than a related teleprotection communication channel referred to in requirement R2(c) or R2(d), that protects a transmission facility that is part of the bulk electric system where a functionally equivalent protection system is not available;
- (c) a teleprotection communication channel, that is part of a protection system for a transmission facility operated at a nominal voltage greater than 200 kV, where there is an equivalent backup teleprotection communication channel, and where the failure lasts for more than twenty-four (24) continuous hours; or
- (d) a teleprotection communication channel, where there is no equivalent backup teleprotection communication channel, and where the failure lasts for more than ten (10) consecutive minutes.

R2.1 Provide notification to the ISO, and to each directly affected operator of a transmission facility, directly affected operator of a generating unit, directly affected operator of an aggregated generating facility and directly affected interconnected transmission operator as soon as possible, but no longer than twenty-four (24) hours after becoming aware of a failure identified in requirements R2(a), R2(b) and R2(d), and no longer than forty-eight (48) hours after becoming aware of a failure identified in requirement R2(c), regardless of whether or not the transmission facility is removed from service following the awareness of such failure, that includes the following information:

- (a) the identification of the protection system or teleprotection communication channel(s) that failed;
- (b) when the protection system or teleprotection communication channel(s) failed or when such failure was first discovered; and
- (c) an estimate of the date when the protection system or teleprotection communication channel(s) will be returned to service.

MR2 Evidence of providing notification, providing an estimate and correcting failures of any of the protection systems or teleprotection communication channel(s) as required in requirement R2 exists. Evidence may include, but is not limited to:

- MR2.1 voice recordings, operator logs, electronic notifications (emails) or other equivalent evidence;

<sup>1</sup> Specified penalty amount conditional on the completion of the accepted Mitigation Plan.

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of Reliability Standard PRC-001-AB3-1.1(ii), requirement R2.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Self-Report submitted by AltaLink to the MSA dated November 1, 2022.
2. Mitigation Plan submitted by AltaLink to the MSA dated November 1, 2022.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca). Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened a reliability standard. Specified penalties are set out in AUC Rule 027.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 027 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### SIGNATURE

Signature	"Original Signed"	Signature Date	February 15, 2023
Name	Andrew Wilkins	Title	Director, Compliance