

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$250
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	502.14	Date of Contravention	October 2020 to May 24, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #1 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

In October 2020, Canadian Hydro Developers, Inc. (Canadian Hydro) manually disabled settings at SUM1 necessary to meet the requirements of section 502.14 of the ISO rules.

Section 502.14 of the ISO rules states, in part:

4 Subject to subsection 2, the operator of a battery energy storage facility must only operate a battery energy storage facility when the voltage regulating system is in service and free to respond to voltage changes.

Thus, between October 2020 and May 24, 2023, information available to the MSA indicates that Canadian Hydro operated SUM1, a battery energy storage facility, when the voltage regulating system was not in service and was unable to respond to voltage changes. The MSA is satisfied that the conduct was a contravention of section 502.14 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on February 22, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$250
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 20, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #1 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On August 20, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$750
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	August 22, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #2 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On August 22, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$2,500
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 1, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #3 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On September 1, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 2, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #4 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On September 2, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 8, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #5 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On September 8, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	September 15, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #6 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On September 15, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 4, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #7 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On November 4, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 5, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #8 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On November 5, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 6, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #9 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On November 6, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 7, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #10 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On November 7, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 8, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #11 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On November 8, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 9, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #12 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On November 9, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 10, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #13 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On November 10, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 17, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #14 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On November 17, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 18, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #15 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On November 18, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 21, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #16 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On November 21, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

(2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
- (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
- (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event

for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 22, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #17 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On November 22, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

(2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
- (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
- (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event

for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 23, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #18 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On November 23, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 25, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #19 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On November 25, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 26, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #20 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On November 26, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

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In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 27, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #21 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On November 27, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 28, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #22 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On November 28, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	November 30, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #23 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On November 30, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 2, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #24 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On December 2, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 4, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #25 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On December 4, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 8, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #26 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On December 8, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 9, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #27 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On December 9, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 10, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #28 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On December 10, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 11, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #29 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On December 11, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 12, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #30 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On December 12, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 13, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #31 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On December 13, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 14, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #32 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On December 14, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 15, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #33 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On December 15, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 16, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #34 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On December 16, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 17, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #35 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On December 17, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 18, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #36 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On December 18, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 19, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #37 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On December 19, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 20, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #38 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On December 20, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 21, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #39 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On December 21, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 22, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #40 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On December 22, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 25, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #41 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On December 25, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 26, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #42 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On December 26, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 27, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #43 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On December 27, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 28, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #44 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On December 28, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 29, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #45 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On December 29, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 30, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #46 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On December 30, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	December 31, 2022
Date of Referral/Self Report	June 23, 2023	This is contravention #47 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On December 31, 2022, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 1, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #48 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 1, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 2, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #49 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 2, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

(2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
- (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
- (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event

for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 3, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #50 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 3, 2023, SUM1 was dispatched to provide spinning reserve.

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- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 4, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #51 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 4, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

(2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
- (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
- (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event

for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 5, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #52 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 5, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 6, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #53 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 6, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 7, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #54 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 7, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 8, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #55 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 8, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 9, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #56 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 9, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

(2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
- (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
- (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event

for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 10, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #57 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 10, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

(2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
- (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
- (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event

for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 11, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #58 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 11, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 12, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #59 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 12, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 11, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #101 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 11, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 12, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #102 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 12, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 13, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #103 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 13, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 14, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #104 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 14, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 15, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #105 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 15, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 16, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #106 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 16, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 17, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #107 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 17, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 18, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #108 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 18, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 19, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #109 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 19, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 13, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #60 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 13, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 18, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #61 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 18, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 19, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #62 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 19, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 20, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #63 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 20, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

(2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
- (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
- (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event

for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 21, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #64 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 21, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 22, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #65 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 22, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 23, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #66 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 23, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 24, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #67 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 24, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 25, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #68 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 25, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

(2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
- (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
- (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event

for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 26, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #69 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 26, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 28, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #70 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 28, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 29, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #71 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 29, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

(2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
- (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
- (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event

for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 30, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #72 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 30, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	January 31, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #73 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On January 31, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

(2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
- (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
- (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event

for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 1, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #74 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On February 1, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 2, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #75 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On February 2, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 3, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #76 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On February 3, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

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If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 4, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #77 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On February 4, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 5, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #78 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On February 5, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

(2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
- (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
- (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event

for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 6, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #79 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On February 6, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

(2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
- (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
- (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event

for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 7, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #80 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On February 7, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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NOTICE

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 9, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #81 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On February 9, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 10, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #82 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On February 10, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 11, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #83 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On February 11, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 12, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #84 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On February 12, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 13, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #85 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On February 13, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

(2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
- (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
- (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event

for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 14, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #86 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On February 14, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 15, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #87 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On February 15, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

(2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:

- (a) continuously proportional to the measured frequency;
- (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
- (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event

for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 16, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #88 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On February 16, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 17, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #89 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On February 17, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 18, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #90 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On February 18, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 19, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #91 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On February 19, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 20, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #92 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On February 20, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 21, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #93 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On February 21, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	February 28, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #94 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On February 28, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	March 24, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #95 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On March 24, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 6, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #96 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 6, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 7, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #97 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 7, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 8, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #98 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 8, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 9, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #99 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 9, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 10, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #100 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 10, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 22, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #110 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 22, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 23, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #111 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 23, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to compliance@albertamsa.ca for instructions. When submitting the EFT, please send an email to AU.FinOps@gov.ab.ca, compliance@albertamsa.ca and enforcement@auc.ab.ca noting the MSA file #, market participant name, name as appears on bank account, payment amount and the date of the payment. Questions can be directed to compliance@albertamsa.ca.

NOTICE

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In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 24, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #112 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 24, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 25, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #113 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 25, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 26, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #114 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 26, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 27, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #115 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 27, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 28, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #116 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 28, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 29, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #117 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 29, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	April 30, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #118 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On April 30, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 1, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #119 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On May 1, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 2, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #120 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On May 2, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 3, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #121 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On May 3, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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NOTICE

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 4, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #122 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On May 4, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 6, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #123 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On May 6, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 7, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #124 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On May 7, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 9, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #125 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On May 9, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 10, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #126 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On May 10, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

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APPROVED BY

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NOTICE OF SPECIFIED PENALTY

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MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 11, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #127 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On May 11, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
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- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

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NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 12, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #128 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On May 12, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

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NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 13, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #129 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On May 13, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 14, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #130 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On May 14, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 15, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #131 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On May 15, 2023, SUM1 was dispatched to provide spinning reserve.

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- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

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NOTICE

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 16, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #132 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On May 16, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 17, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #133 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On May 17, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 18, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #134 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On May 18, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 19, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #135 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On May 19, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

Mark Nesbitt, Director, Enforcement on February 12, 2024

NOTICE OF SPECIFIED PENALTY

Date of Issue: February 22, 2024		Payment Due Date: March 25, 2024	
MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 20, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #136 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On May 20, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

DELIVERY OF PAYMENT

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APPROVED BY

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NOTICE OF SPECIFIED PENALTY

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MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 21, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #137 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On May 21, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
- for any change in frequency where the frequency goes outside the deadband set out in subsection 3(1)(b)(ii).

While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

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MSA File Number	23-024	Specified Penalty Amount	\$5,000
Market Participant Name	Canadian Hydro Developers, Inc.		
Asset ID (if applicable)	SUM1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	205.5	Date of Contravention	May 22, 2023
Date of Referral/Self Report	June 23, 2023	This is contravention #138 by this asset for this section of the ISO rules within a rolling 12-month period.	

MSA FINDINGS

On May 22, 2023, SUM1 was dispatched to provide spinning reserve.

Section 205.5 of the ISO rules states, in part:

- (2) A pool participant must ensure that, while its pool asset is under dispatch to provide spinning reserve, the change in real power of each spinning reserve resource being used to provide spinning reserve is:
- (a) continuously proportional to the measured frequency;
 - (b) in accordance with the droop setting set out in subsection 3(1)(b)(iii); and
 - (c) limited to the maximum real power capability of the spinning reserve resource that is available at the time of the frequency event
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While under dispatch to provide spinning reserve, SUM1 did not provide frequency response when the system frequency dropped below the deadband set out in subsection 3(1)(b)(ii) of section 205.5 of the ISO Rules. The MSA is satisfied that the conduct was a contravention of section 205.5 of the ISO rules.

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