

## NOTICE OF SPECIFIED PENALTY

Date of Issue: August 31, 2023		Payment Due Date: October 3, 2023	
MSA File Number	2023-127	<b>Specified Penalty Amount</b>	<b>\$250</b>
Market Participant Name	Alberta Pacific Forest Industries Inc.		
Asset ID (if applicable)	AFG1	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	203.4	Date of Contravention	February 27, 2023
Date of Referral/Self Report	March 21, 2023	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

### EVENT DETAILS

On February 27, 2023, at approximately 20:20 the AFG1 asset experienced an operational deviation that lasted for more than 20 minutes; however, an available capacity restatement was not submitted. Section 203.4 of the ISO rules states in part:

5(3) A pool participant must, if an operational deviation extends for 20 minutes or longer, submit an available capability restatement or MW restatement for the generating source asset that represents the operational capability of the generating source asset and must do so no later than 20 minutes after the commencement of the operational deviation.

### FINDINGS

Based on the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 203.4 of the ISO rules.

### MATERIAL FACTS

The material facts relied on by the MSA include the following:

1. AESO available capability, dispatch, generation and offer data for AFG1 for February 27, 2023.
2. Self-report submitted by Alberta Pacific Forest Industries Inc. to the MSA dated March 21, 2023.

### DELIVERY OF PAYMENT

Payment by cheque, certified funds or electronic funds transfer (EFT) is to be made payable to the "General Revenue Fund c/o Minister of Finance" and delivered to Alberta Affordability and Utilities c/o Energy and Minerals at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca). Questions, including questions about EFT instructions, can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on August 31, 2023