

## NOTICE OF SPECIFIED PENALTY

Date of Issue: November 28, 2023		Payment Due Date: January 4, 2024	
MSA File Number	2023-114	<b>Specified Penalty Amount</b>	<b>\$500</b>
Market Participant Name	East Strathmore Solar Project Inc.		
Asset ID (if applicable)	NMK1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	505.3	Date of Contravention	June 1, 2, 3, 9, 2022
Date of Referral/Self Report	March 17, 2023	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

### MSA FINDINGS

On June 1 - 3, and June 9, 2022, East Strathmore Solar Project Inc. engaged in commissioning activities in relation to the NMK1 asset. During this period, East Strathmore Solar Project Inc. did not, on the day of and not less than one hour prior to the scheduled time of commissioning or testing, phone the ISO and obtain verbal authorization from the ISO to proceed.

Section 505.3 of the ISO rules states, in part:

(2) Each of the legal owner of a generating unit and the legal owner of an aggregated generating facility must, on the day of and not less than one (1) hour prior to the scheduled time of commissioning or testing, phone the ISO and obtain verbal authorization from the ISO to proceed.

(3) After receiving authorization to proceed with its commissioning or testing activities, the legal owner of a generating unit and the legal owner of an aggregated generating facility may commence the commissioning or testing activities

The MSA is satisfied that the conduct was a contravention of section 505.3 of the ISO rules.

### DELIVERY OF PAYMENT

Payment can be made by electronic funds transfer (EFT). Please reach out to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) for instructions. When submitting the EFT, please send an email to [azeez.z.salawu@gov.ab.ca](mailto:azeez.z.salawu@gov.ab.ca), [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca) noting the dollar amount, date of the EFT, market participant name and the MSA File #. Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### APPROVED BY

Mark Nesbitt, Director, Enforcement on November 28, 2023