

NOTICE OF SPECIFIED PENALTY

Date of Issue: August 31, 2023		Payment Due Date: October 3, 2023	
MSA File Number	2023-086	Specified Penalty Amount	\$500
Market Participant Name	West Fraser Mills Ltd.		
Asset ID (if applicable)	WWD1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	201.7	Date of Contravention	August 31, 2022
Date of Referral/Self Report	February 17, 2023	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

EVENT DETAILS

On August 31, 2022, a total of 37 dispatches issued for the WWD1 asset were not acknowledged within two minutes of receipt. Thirty-five of the missed dispatches occurred between HE 01 through HE 06, with the final two occurring in HE 08. Section 201.7 of the ISO rules states in part:

5 A pool participant must acknowledge receipt of a dispatch:

(a) in the case of an automated message and unless the pool participant has notified the ISO of an unavailability in accordance with subsection 4(1)(a) by responding via the Automated Dispatch and Messaging System:

(i) within two (2) minutes for an intra-Alberta transaction; and
[...]

FINDINGS

Based on the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 201.7 of the ISO rules.

MATERIAL FACTS

The material facts relied on by the MSA include the following:

1. AESO dispatch data for WWD1 for August 31, 2022.
2. AESO information request issued to West Fraser Mills Ltd., dated January 24, 2023.
3. West Fraser Mills Ltd.'s response to the AESO information request, dated February 7, 2023.
4. Referral from the AESO to the MSA dated February 17, 2023.

DELIVERY OF PAYMENT

Payment by cheque, certified funds or electronic funds transfer (EFT) is to be made payable to the "General Revenue Fund c/o Minister of Finance" and delivered to Alberta Affordability and Utilities c/o Energy and Minerals at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions, including questions about EFT instructions, can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on August 31, 2023