

NOTICE OF SPECIFIED PENALTY

Date of Issue: September 7, 2023		Payment Due Date: October 10, 2023	
MSA File Number	2023-081	Specified Penalty Amount	\$500
Market Participant Name	Alberta Solar One, Inc.		
Asset ID (if applicable)	BRD1	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule Section	502.8	Date of Contravention	August 1 and 18, September 2, October 1, November 11, and December 13, 2022
Date of Referral/Self Report	February 17, 2023	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

EVENT DETAILS

Information available to the MSA indicates that for the following dates in 2022, August 1 and 18, September 2, October 1, November 11, and December 13, Alberta Solar One, Inc. did not meet the minimum accuracy specifications for potential real power capability for asset BRD1. Section 502.8 of the ISO rules states, in part:

2 The legal owner of a generating unit, aggregated generating facility, transmission facility, or a load facility that is energized and commissioned on or after April 7, 2017 must ensure the facility meets the minimum supervisory control and data acquisition requirements of this Section 502.8 and, where applicable, verify with the ISO that the facility meets the requirements during commissioning and before energization.

[...]

7(5) A legal owner must, if it is providing analog values to the ISO, provide those values with the following minimum accuracy and resolution as specified in Table 2.

Table 2

Measurement Type	Units	Accuracy	Resolution
Potential real power capability	MW	+/-10% of full scale	0.1

FINDINGS

Based on the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 502.8 of the ISO rules.

MATERIAL FACTS

The material facts relied on by the MSA include the following:

1. AESO available capability, maximum capability, dispatch, potential real power capability, and generation data for BRD1 for August 1 and 18, September 2, October 1, November 11, and December 13, 2022.
2. AESO information request issued to Alberta Solar One, Inc., dated January 24, 2023.
3. Alberta Solar One, Inc.'s response to the AESO information request, dated February 7, 2023.
4. Referral from the AESO to the MSA dated February 17, 2023.
5. Additional information provided by Alberta Solar One, Inc. to the MSA dated February 24, 2023.

DELIVERY OF PAYMENT

Payment by cheque, certified funds or electronic funds transfer (EFT) is to be made payable to the "General Revenue Fund c/o Minister of Finance" and delivered to Alberta Affordability and Utilities c/o Energy and Minerals at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions, including questions about EFT instructions, can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5.1 of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

APPROVED BY

Mark Nesbitt, Director, Enforcement on September 7, 2023