

NOTICE OF SPECIFIED PENALTY

Date of Issue: November 29, 2022		Payment Due Date: January 4, 2023	
MSA File Number	2022-285	Specified Penalty Amount	\$250
Market Participant Name	TransAlta Energy Marketing Corp.		
Asset ID (if applicable)	TEXM	Self-Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule Section	203.6	Date of Contravention	September 7, 2022
Date of Referral/Self-Report	September 29, 2022	This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period.	

EVENT DETAILS

On September 7, 2022 at approximately 10:36, an export bid of 105 MW for the HE 16 settlement interval on the same date was submitted through the Energy Trading System for the TEXM asset. However, e-tags were created for only 5 MW of the 105 MW. Section 203.6 of the ISO rules states in part:

6(1) Pool participants with any import or export interchange transactions who have acquired transmission service must submit e-tags to the ISO for the interchange transactions.

(2) The ISO must receive e-tags no later than twenty (20) minutes prior to the start of the settlement interval in order for the energy components of the interchange transactions to be included in an interchange schedule referenced in subsection 8.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 203.6 of the ISO rules.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. Interchange data and AESO bid data for TEXM for September 7, 2022.
2. Self-report submitted by TransAlta Energy Marketing Corp. to the MSA dated September 29, 2022.
3. Additional information provided by TransAlta Energy Marketing Corp. to the MSA dated October 3, 2022.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to compliance@albertamsa.ca and enforcement@auc.ab.ca. Questions can be directed to compliance@albertamsa.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature	"Original Signed"	Signature Date	November 29, 2022
Name	Andrew Wilkins	Title	Director, Compliance