

## NOTICE OF SPECIFIED PENALTY

|                                  |                                  |   |   |
|----------------------------------|----------------------------------|---|---|
| Date of Issue: November 28, 2022 |                                  | Payment Due Date: January 4, 2023   |   |
| MSA File Number                  | 2022-244                         | <b>Specified Penalty Amount</b>   | <b>\$250</b>  |
| Market Participant Name          | TransAlta Generation Partnership |   |   |
| Asset ID (if applicable)         | SD6                              | Self-Report   | <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO |
| ISO Rule Section                 | 202.4                            | Date of Contravention   | August 6, 2022  |
| Date of Referral/Self Report     | August 17, 2022                  | This is the first contravention by this asset for this section of the ISO rules within a rolling 12-month period. |   |

### EVENT DETAILS

At approximately 01:09:43 on August 6, 2022, the SD6 generating asset submitted a start time of 01:12:00 for the long lead time asset, affecting the HE 02 settlement interval. Section 202.4 of the ISO rules states in part:

5(1) A pool participant must, if it wishes to have a long lead time asset that is not synchronized participate in the energy market, enter a start time for the long lead time asset prior to two (2) hours before the start of the settlement interval.

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of section 202.4 of the ISO rules.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO available capability, dispatch, generation, offer, and long lead data for SD6 for August 6, 2022.
2. Self-report submitted by TransAlta Generation Partnership to the MSA dated August 17, 2022.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made payable to the "General Revenue Fund c/o Minister of Finance", and delivered to Alberta Energy at: 9945 – 108 Street NW, Edmonton, Alberta, T5K 2G6. The payment should reference this Notice of Specified Penalty and related MSA File #. Electronic copies of the payment and confirmation of delivery should be sent by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca) and [enforcement@auc.ab.ca](mailto:enforcement@auc.ab.ca). Questions can be directed to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

### SIGNATURE

|           |                   |                |                      |
|-----------|-------------------|----------------|----------------------|
| Signature | "Original Signed" | Signature Date | November 28, 2022    |
| Name      | Andrew Wilkins    | Title          | Director, Compliance |