

## NOTICE OF SPECIFIED PENALTY

Date of Issue: September 19, 2017		Payment Due Date: October 19, 2017	
MSA File Number	2017-344	<b>Specified Penalty Amount</b>	<b>\$500</b>
Registered Entity Name	Irrigation Canal Power Co-op Ltd.		
Asset ID (if applicable)	CHIN	Self-Report	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO
ISO Rule	203.1	Date of Contravention	May 1, 2017
Date of Referral/Self Report	August 28, 2017	This is the first contravention by this asset for this rule within a rolling 12 month period.	

### EVENT DETAILS

On May 1, 2017, for the HE 02 through HE 07 settlement periods, the declared available capability (AC) and energy dispatch level of generating unit CHIN was 0 MW. The reason that accompanied the declared AC of 0 MW was "End of irrigation season – shutdown for the winter." However, during these settlement periods the energy output of CHIN remained between 3–4 MW until an AC restatement was made for HE 08 on May 1, 2017 at 06:31, and a new dispatch of 5 MW was accepted effective at the start of HE 08.

Subsection 5 of section 203.1 of the ISO rules states:

A pool participant that submits an offer must also submit the available capability, in MW, for each source asset which such available capability must equal the maximum capability of the source asset unless the pool participant has submitted an acceptable operational reason with the offer.

### FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO rule 203.1.

### MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO generation, dispatch and available capability data for CHIN for May 1, 2017.
2. AESO information request issued to Irrigation Canal Power Co-op Ltd. dated July 28, 2017.
3. URICA Energy Real Time Ltd.'s response to the AESO information request submitted on behalf of Irrigation Canal Power Co-op Ltd. dated August 14, 2017.
4. Referral from the AESO to the MSA dated August 28, 2017.

### DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca), with copy to the following AUC personnel: Jeremy Smith (Financial Accountant) [jeremy.smith@auc.ab.ca](mailto:jeremy.smith@auc.ab.ca), and Greg Andrews (Investigator) [greg.andrews@auc.ab.ca](mailto:greg.andrews@auc.ab.ca).

### NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at [compliance@albertamsa.ca](mailto:compliance@albertamsa.ca).

**SIGNATURE**

Signature	"Original Signed"	Signature Date	September 19, 2017
Name	Andrew Wilkins	Title	Manager, Compliance