NOTICE OF SPECIFIED PENALTY

Date of Issue: June 28, 2016

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MSA File Number:	2016-189	Specified Penalty Amount:	\$ 1,500
Market Participant Name:	MFC Power Limited Partnership		
Asset ID (if applicable):	MFG1	Self Report	🗆 YES 🖾 NO
ISO Rule:	203.4	Date of Contravention:	March 17, 2016
Date of Referral/Self Report:	June 21, 2016	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On March 17, 2016 at 09:49, the MFG1 generating asset was dispatched down from 10 MW to 1 MW for immediate effect. MFG1 did not ramp in response to the 09:49 dispatch instruction and continued to operate at the preexisting level for the duration of the 09:49 dispatch (until approximately 10:29). ISO rule section 203.4 indicates that a pool participant must move the output of a generating source asset which is the subject of a dispatch, and ramping towards the MW level indicated in the dispatch within 10 minutes of the time specified in the dispatch.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 203.4.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

- 1. AESO generation, dispatch, and available capability data for MFG1 for March 17, 2016.
- 2. AESO information request issued to MFC Power Limited Partnership dated May 26, 2016.
- 3. MFC Power Limited Partnership's response to the AESO information request dated June 3, 2016.
- 4. Referral from the AESO to the MSA dated June 21, 2016.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Andrew Davison (Senior Market Analyst) andrew.davison@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE				
Signature:	"Original Signed"	Signature Date:	June 28, 2016	
Name:	Doug Doll	Title:	Director Corporate Services and Compliance	