

NOTICE OF SPECIFIED PENALTY

Date of Issue: December 15, 2015

Payment Due Date: January 15, 2016

MSA File Number:	2015-391	Specified Penalty Amount:	\$ 750
Market Participant Name:	TransCanada Energy Ltd.		
Asset ID (if applicable):	TC01	Self Report	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
ISO Rule:	203.3	Date of Contravention:	October 23, 2015
Date of Referral/Self Report:	November 20, 2015	This is the first contravention by this asset for this rule within a rolling 12 month period.	

EVENT DETAILS

On October 23, 2015 at 03:41, the TC01 generating asset was dispatched down from 64 MW to 32 MW effective at 04:00. Due to an operational issue at the facility, it became necessary for TC01 to maintain the prevailing output level in order to meet on-site process requirements. From 04:00 until 06:05 when a MW restatement was submitted to revise offer block volumes to accord with the operating state of the facility, TC01 was unable to comply with its current offer. ISO rule 203.3 indicates that a pool participant that submits an offer must, submit a MW restatement redistributing the MW to represent the operating state of the asset as soon as reasonably practicable if the asset cannot comply with the current offer as a result of either an acceptable operational reason, or an operational deviation, where an available capability restatement cannot reasonably accommodate the asset's operating state.

FINDINGS

Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 203.3.

MATERIAL FACTS

The material facts relied upon by the MSA include the following:

1. AESO generation, dispatch, available capability, and offer data for TC01 for October 23, 2015.
2. Self-report from TransCanada Energy Ltd. to the MSA dated November 20, 2015.

DELIVERY OF PAYMENT

Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Andrew Davison (Senior Market Analyst) andrew.davison@auc.ab.ca.

NOTICE

The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the *Alberta Utilities Commission Act* to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019.

In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC.

In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty.

If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca.

SIGNATURE

Signature:	"Original Signed"	Signature Date:	December 15, 2015
Name:	Doug Doll	Title:	Director Corporate Services and Compliance