NOTICE OF SPECIFIED PENALTY Date of Issue: September 8, 2015 Payment Due Date: October 8, 2015 2015-276 MSA File Number: Specified Penalty \$ 1,500 Amount: The Manitoba Hydro-Electric Market Participant Name: **Board** Asset ID (if applicable): **MASK** Self Report ☐ YES ⊠ NO ISO Rule: 203.6 Date of Contravention: June 20, 2015 This is the first contravention by this asset for this rule within a rolling Date of Referral/Self Report: August 26, 2015 12 month period. **EVENT DETAILS** From 17:59 to 19:37 MDT on June 20, 2015, the available capability (AC) of the MASK import asset was declared to be 0 MW for hours ending (HE) 21 and 22. However, an e-tag was submitted to flow 42 MW and 43 MW from Saskatchewan for HE 21 and 22, respectively. ISO rule 203.6 indicates that a pool participant must submit e-tags for an energy interchange transaction such that the sum of the e-tag volumes agrees with the available capability (AC) of the asset as stated two hours prior to the start of the settlement interval. **FINDINGS** Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 203.6. **MATERIAL FACTS** The material facts relied upon by the MSA include the following: 1. AESO e-tag, and available capability data for MASK for June 20, 2015. AESO information request issued to The Manitoba Hydro-Electric Board dated July 30, 2015. The Manitoba Hydro-Electric Board's response to the AESO information request dated August 12, 2015. Referral from the AESO to the MSA dated August 26, 2015. **DELIVERY OF PAYMENT** Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Andrew Davison (Senior Market Analyst) andrew.davison@auc.ab.ca. NOTICE The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the Alberta Utilities Commission Act to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019. In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC. In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty. If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca. **SIGNATURE** "Original Signed" Signature: Signature Date: September 8, 2015 Manager Compliance and Corporate Name: Doug Doll Title:

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