NOTICE OF SPECIFIED PENALTY Date of Issue: September 2, 2015 Payment Due Date: October 2, 2015 2015-268 MSA File Number: Specified Penalty \$ 5,000 Amount: **Irrigation Canal Power** Market Participant Name: Cooperative Ltd. Asset ID (if applicable): **RYMD** Self Report ☐ YES ⊠ NO ISO Rule: 201.7 Date of Contravention: May 13, 2015 This is the third contravention by this asset for this rule within a rolling Date of Referral/Self Report: August 24, 2015 12 month period. **EVENT DETAILS** Between 01:42 and 02:32 on May 13, 2015, RYMD received 8 energy dispatches applicable to the hour ending 3 (HE 03) settlement period; all of which timed out without acknowledgement. ISO Rule 201.7 requires that a pool participant must acknowledge receipt of a dispatch within two (2) minutes for an intra-Alberta transaction unless the pool participant has notified the AESO of an outage at its facilities of the ADaMS system or other electronic communications systems pursuant to 4(1) of ISO rule section 201.7. **FINDINGS** Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 201.7. MATERIAL FACTS The material facts relied upon by the MSA include the following: AESO dispatch data for RYMD for May 13, 2015. AESO information request issued to Irrigation Canal Power Cooperative Ltd. dated July 27, 2015. Irrigation Canal Power Cooperative Ltd.'s response to the AESO information request dated August 11, 2015. 3. Referral from the AESO to the MSA dated August 24, 2015. **DELIVERY OF PAYMENT** Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Andrew Davison (Senior Market Analyst) andrew.davison@auc.ab.ca. NOTICE The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the Alberta Utilities Commission Act to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019. In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC. In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty. If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca. **SIGNATURE** Signature: "Original Signed" Signature Date: September 2, 2015

Title:

Name:

Doug Doll

Manager Compliance and Corporate

Services