## NOTICE OF SPECIFIED PENALTY Date of Issue: June 22, 2015 Payment Due Date: July 22, 2015 2015-169 MSA File Number: Specified Penalty \$ 1,500 Amount: Milner Power Limited Market Participant Name: Partnership Asset ID (if applicable): HRM Self Report ☐ YES ⊠ NO ISO Rule: 203.3 Date of Contravention: February 27, 2015 This is the first contravention by this asset for this rule within a rolling Date of Referral/Self Report: June 10, 2015 12 month period. **EVENT DETAILS** On February 27, 2015 from 09:00 to 11:24, the HRM asset had a declared available capability of 60 MW and was dispatched for 40 MW of energy. Information available to the MSA indicated that HRM had tripped offline and was not capable of generating during this interval. While HRM made contact with the system controller during this period, no restatement of AC was made and accordingly, the declared AC for HRM remained at 60 MW. ISO rule 203.3 indicates that a pool participant that submits an offer must, if there is a change to the available capability of the source asset as a result of an acceptable operational reason, submit an available capability restatement revising the available capability for the applicable hours, as soon as reasonably practicable. **FINDINGS** Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 203.3. **MATERIAL FACTS** The material facts relied upon by the MSA include the following: 1. AESO generation, dispatch, and available capability data for HRM for February 27, 2015. AESO information request issued to Milner Power Limited Partnership dated June 1, 2015. Milner Power Limited Partnership's response to the AESO information request dated June 1, 2015. Referral from the AESO to the MSA dated June 10, 2015. **DELIVERY OF PAYMENT** Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Markets) darin.lowther@auc.ab.ca. NOTICE The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the Alberta Utilities Commission Act to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019. In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC. In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty. If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca. **SIGNATURE** Signature: "Original Signed" Signature Date: June 22, 2015

Title:

Name:

Doug Doll

Manager Compliance and Corporate

Services