## NOTICE OF SPECIFIED PENALTY Date of Issue: March 12, 2015 Payment Due Date: April 13, 2015 2015-041 MSA File Number: Specified Penalty \$ 1,500 Amount: City of Medicine Hat Market Participant Name: Asset ID (if applicable): CMH1 Self Report ☐ YES ⊠ NO ISO Rule: 203.4 Date of Contravention: December 12, 2014 This is the first contravention by this asset for this rule within a rolling Date of Referral/Self Report: February 20, 2015 12 month period. **EVENT DETAILS** At 14:40 on December 12, 2014, CMH1 received an advanced energy dispatch to increase generation from 77 MW to 100 MW effective at 15:00. At approximately 14:50, CMH1 began ramping towards the dispatch. ISO Rule 203.4 states that a pool participant must move the output of a generating source asset which is the subject of a dispatch and ramping, toward the MW level indicated in the dispatch within ten (10) minutes of the time specified in the dispatch but not prior to the dispatch. **FINDINGS** Based upon the information obtained by the MSA, the MSA is satisfied that the event was a contravention of ISO Rule 203.4. MATERIAL FACTS The material facts relied upon by the MSA include the following: AESO dispatch and generation data for CMH1 for December 12, 2014. AESO information request issued to City of Medicine Hat dated February 4, 2015. City of Medicine Hat's response to the AESO information request dated February 10, 2015. 3. Referral from the AESO to the MSA dated February 20, 2015. **DELIVERY OF PAYMENT** Payment by cheque or certified funds is to be made out to the "General Revenue Fund c/o Minister of Finance", and delivered to the Alberta Utilities Commission (AUC) at: 4th Floor, 425 - 1st Street S.W., Calgary, Alberta, T2P 3L8. Questions in respect of delivery of payment should be addressed to the Director of Finance, AUC. The payment should reference this notice and related MSA File #. Delivery of payment should also be confirmed by email to compliance@albertamsa.ca, with copy to the following AUC personnel: Sabi Ghavami (Director, Finance) sabi.ghavami@auc.ab.ca, and Darin Lowther (Director, Market Rules) darin.lowther@auc.ab.ca. NOTICE The Market Surveillance Administrator (MSA) is granted the power and authority under s. 52 of the Alberta Utilities Commission Act to issue a Notice of Specified Penalty where the MSA is satisfied that a person has contravened an ISO rule. Specified penalties are set out in AUC Rule 019. In accordance with the relevant enactments and rules, a dispute regarding the issuance of a Notice of Specified Penalty or failure to pay the specified penalty in accordance with this notice will result in a hearing or other proceeding before the AUC. In accordance with s. 5(1) of AUC Rule 019 this Notice of Specified Penalty will be made public no earlier than receipt of confirmation of payment from the Commission and no later than 45 days after issuance. The MSA will, if applicable, also post on its website the link to any decision of the AUC respecting the specified penalty. If your organization disputes the issuance of this Notice of Specified Penalty, or if you have any other questions or comments regarding this matter, please contact the MSA compliance team at compliance@albertamsa.ca. **SIGNATURE** Signature: "Original Signed" Signature Date: March 12, 2015

Title:

Name:

Doug Doll

Manager Compliance and Corporate

Services