

NOTICE TO MARKET PARTICIPANTS AND STAKEHOLDERS

January 5, 2016

RE: New Code of Conduct Regulation

On January 1, 2016 a new *Code of Conduct Regulation* (AR58/2015) came into force under both the *Electric Utilities Act* and the *Gas Utilities Act*. The Alberta Utilities Commission (AUC) has administrative responsibility for the new regulation. Previously, the MSA was responsible for the *Code of Conduct Regulation* (AR160/2003) under the *Electric Utilities Act* and the AUC was responsible for the *Code of Conduct Regulation* (AR183/2003) under the *Gas Utilities Act*.

All entities that have been reporting to the MSA in accordance with their existing compliance plans under AR160/2003 should continue until all of 2015 has been reported. Thereafter, reporting will be to the AUC.

As the AUC has responsibility for administrating the new Code, the MSA will be working closely with the AUC during the transition year to ensure a smooth handover. Affected market participants should note that the AUC has scheduled a meeting on February 3, 2016 to discuss implementation details.¹

While general administration of the new Code of Conduct Regulation moves to the AUC, the MSA still remains the investigating agency for any matters that negatively impact the fair, efficient and openly competitive operation of the market.

If you have any questions, please contact me at <u>mike.nozdryn-plotnicki@albertamsa.ca</u> or 403-705-8503.

Yours truly,

/s/ Mike Nozdryn-Plotnicki

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¹ http://www.auc.ab.ca/news-room/bulletins/Bulletins/2015/Bulletin%202015-21.pdf